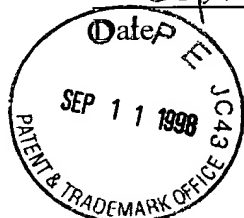


## PATENT

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Box Missing Parts, Assistant Commissioner for Patents, Washington, DC 20231.

September 8, 1998

Kathleen F. Prindle



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Martin A. Cheever and Mary L. Disis  
 Application No. : 09/088,951  
 Filed : June 2, 1998  
 For : METHODS AND COMPOSITIONS TO GENERATE  
 IMMUNITY IN HUMANS AGAINST SELF TUMOR  
 ANTIGENS BY IMMUNIZATION WITH HOMOLOGOUS  
 FOREIGN PROTEINS

Art Unit : 1643  
 Docket No. : 920010.535  
 Date : September 8, 1998

09/16/1998 ASELLMAN 00000040 09088951

01 FC:201 Box Missing Parts 395.00 OP  
 02 FC:205 Assistant Commissioner for Patents 65.00 OP  
 03 FC:203 100.00 OP  
 04 FC:204 Washington, DC 20231 135.00 OP

RECEIVED  
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 98 SEP 17 AM 10:27

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Sir:

In response to the Notice to File Missing Parts dated June 30, 1998, please find enclosed a Declaration, Election and Power of Attorney, Copy of Assignment, Verified Statement Claiming Small Entity Status and Form PTO-1533 for the above-identified application.

Also enclosed is a General Authorization Under 37 C.F.R. § 1.136(a)(3).

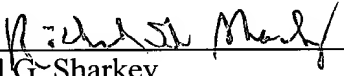
Please find enclosed a Petition for an Extension of Time, extending the time for response from August 30, 1998 to September 30, 1998.

The fees have been calculated as follows:

Basic Fee	\$	395
Total Claims (30, 10 extra)		110
Independent Claims (1, 0 extra)		0
Multiple Dependent Claims Surcharge		135
Missing Parts Surcharge		65
Total	\$	705
Extension-of-Time Fee		55
TOTAL	\$	760

Enclosed is a check in the amount of \$760 for the requisite fees. The Assistant Commissioner is hereby authorized to charge any additional filing fees or to credit any overpayment to Deposit Account No. 19-1090. A duplicate copy of this response is enclosed.

Respectfully submitted,  
SEED and BERRY LLP

  
Richard G. Sharkey  
Registration No. 32,629

RGS:kp

Enclosures:

- Postcard
- Check
- Petition for an Extension of Time (+ 2 copies)
- Copy of this Response
- Declaration
- Election and Power of Attorney
- Copy of Assignment
- Verified Statement Claiming Small Entity
- General Authorization Under 37 C.F.R. § 1.136(a)(3)
- Copy of Form PTO-1533

6300 Columbia Center  
701 Fifth Avenue  
Seattle, Washington 98104-7092  
(206) 622-4900  
Fax: (206) 682-6031

KP/5814/1

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Martin A. Cheever and Mary L. Disis  
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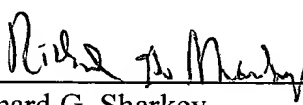
Box Missing Parts  
Assistant Commissioner for Patents  
Washington, DC 20231

GENERAL AUTHORIZATION UNDER 37 C.F.R. § 1.136(a)(3)

Sir:

With respect to the above-identified application, the Assistant Commissioner is authorized to treat any concurrent or future reply requiring a petition for an extension of time under 37 C.F.R. § 1.136(a)(3) for its timely submission as incorporating a petition therefor for the appropriate length of time. The Assistant Commissioner is also authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 19-1090.

Respectfully submitted,  
SEED and BERRY LLP

  
Richard G. Sharkey  
Registration No. 32,629

RGS:kp

SEED and BERRY LLP  
6300 Columbia Center  
701 Fifth Avenue  
Seattle, Washington 98104-7092  
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FAX: (206) 682-6031

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UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/088,951	06/02/98	CHEEVER	

RICHARD G. SHARKEY  
SEED AND BERRY  
6300 COLUMBIA CENTER  
701 FIFTH AVENUE  
SEATTLE WA 98104-7092



DATE MAILED:

1643

06/30/98

### NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
☐ small entity (statement filed) ☒ non-small entity is \$ 1410.00

- ☒ 1. The statutory basic filing fee is:  
☐ missing.  
☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☒ 2. Additional claim fees of \$ 490.00, including any multiple dependent claim fees, are required.  
\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.  
\$ 200.00 for 10.00 dependent claims over 20.  
\$ 200.00 for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☐ 3. The oath or declaration:  
☐ is missing or unexecuted.  
☐ does not cover the newly submitted items.  
☐ does not identify the application to which it applies.  
☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.  
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).  
☐ 7. Your filing receipt was mailed in error because your check was returned without payment.  
☐ 8. The application does not comply with the Sequence Rules.  
See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."  
☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202